US v. Taylor, CR 06-13T -- Jury Charge (June 20, 2006) having been convicted of a felony. Thank you.

THE COURT: You've been sitting there for quite awhile, ladies and gentlemen. So we'll take our morning recess now, and right after the recess, I'll explain the law that applies to this case. You may return to the jury room.

(Proceedings out of the presence of the jury as follows:)

THE COURT: The Court will be in recess.

(Short recess.)

(Proceedings in the presence of the jury as follows:)

THE COURT: Ladies and gentlemen, the starting point, obviously, is what is the charge against Mr. Taylor. And the indictment, which you'll have with you in the jury room, charges that on March 19, 2005, Mr. Taylor possessed a firearm in and affecting commerce after having previously been convicted of a crime punishable by a prison term greater than one year in violation of a federal statute, Section 922(g)(1), of Title 18 of the United States Code. I'll read to you the relevant part of that statute. That statute says: "It shall be unlawful for any person who has been convicted in any court of a crime punishable by imprisonment for a term exceeding one year to possess

in or affecting commerce any firearm or ammunition."

Now, in order to prove that Mr. Taylor is guilty of that offense, the Government has to prove four things or what the law refers to as elements, and they have to prove them beyond a reasonable doubt.

The first thing the Government has to prove is that Mr. Taylor possessed a firearm. The second thing the Government has to prove is that he possessed the firearm knowingly. Third, it has to prove that the possession was in or affecting commerce; and, fourth, the Government has to prove that at some time before he possessed the firearm, Mr. Taylor had been convicted of a crime that carried a penalty or a possible penalty of more than one year in prison.

Now, in this case, as you know, the parties have stipulated to a couple of these points. The parties have stipulated or agreed that prior to March 19th, 2005, Mr. Taylor had, in fact, been convicted of a crime that was punishable by imprisonment for more than one year. And they've also stipulated or agreed that the firearm in question had traveled in interstate commerce. You may remember the stipulation was it was manufactured somewhere outside of Rhode Island and, therefore, it had to travel in interstate commerce to be found in Rhode Island and that would satisfy the in

an effecting commerce element that the Government has to prove. So the issue for you to consider is whether the Government has proven the remaining two elements, that is to say, that Mr. Taylor possessed this firearm and that he did so knowingly.

Now, a firearm, I'm sure you won't be surprised to learn, a firearm is any weapon which will or is designed to, or may readily be converted to expelling a projectile by the action of an explosive. And the term "firearm" includes any handgun that is capable of firing a projectile. So that's what a firearm is.

Now, the question, one of the questions here is, or the central issue for you to decide is whether Mr. Taylor possessed the firearm in question. And in order to show that Mr. Taylor possessed the firearm, there are three basic things that the Government needs to demonstrate.

First, it has to show that Mr. Taylor knew that the firearm was there where the officers said they found it. Second, the Government has to show that Mr. Taylor had the ability to exercise power and control over the firearm. And third, the Government has to show that Mr. Taylor intended to exercise power and control over the firearm.

Now, in determining whether the Government has

shown that Mr. Taylor possessed the firearm, you should be aware that there are two kinds of possession that the law recognizes. There's what's called actual possession, and there is what is called constructive possession. And proof of either one is sufficient to satisfy the possession element. If the Government proves that Mr. Taylor actually possessed the firearm or constructively possessed the firearm, that would constitute possession.

When an individual has direct and immediate power and control over a firearm, that individual may be found to have actual possession of the firearm. And direct and immediate control may exist when the firearm is on the defendant's person or it's within his immediate reach.

When an individual has indirect power and control over a firearm, the individual is said or may be said to have constructive possession of the firearm. Constructive possession may exist when the firearm is readily accessible to the individual and the individual has the power and the intention to exercise control over it. It doesn't have to be on his person or within his immediate reach, but it does have to be readily accessible to him, and he has to have the intention and the ability to exercise power and control over it.

I should also mention -- I said there are two kinds of possession, but there are actually more than two kinds. There's actual and constructive, but there also is what's called sole possession and joint possession. The proof of either one, again, is sufficient to satisfy the possession requirement. And as the terms suggests, sole possession may exist when one individual alone has either actual or constructive possession of the firearm, and if two or more persons share possession or control, the ability to exercise control over the firearm, then those persons are said to have joint possession of the firearm.

So to summarize it, a person doesn't have to have an object or firearm on his person or within his immediate reach in order to be deemed in possession of the firearm. And the person doesn't have to possess the firearm all by himself, possession may be shared with someone else. But what is required is that the person must have both the intention and the ability to exercise power and control over the firearm, either alone or jointly with another person.

Now, keep in mind, too, that possession and ownership are not synonymous. The Government doesn't have to prove that Mr. Taylor owned the firearm. What it has to prove is that he possessed the firearm.

Now, ownership, of course, may be a factor to consider in determining whether a person possessed a firearm, but ownership alone is not the criterion. Evidence that a defendant was near the firearm or that even if he knew it was there or that he associated with someone who possessed the firearm, again, those are factors that you may consider, but they don't by themselves establish that the defendant possessed the firearm.

As I said, in order to find the defendant possessed the firearm, you must find that he had either actual or constructive possession, either by himself or together with someone else, and that he had the ability to exercise control over the firearm and that he intended to exercise control over the firearm.

Now, I told you that the Government also has to show that the possession was knowing or that the defendant acted knowingly. To act knowingly means to act voluntarily and intentionally with an awareness of the nature of the act that you're committing and the likely consequences that flow from it, as opposed to acting by mistake or accident or some other reason. And the purpose of requiring proof that a defendant in a criminal case acted knowingly is to make sure that nobody is convicted for an act that they didn't intend

to commit or that they didn't understand. That's why the Government has to show that the defendant acted knowingly.

Now, to prove that somebody acted knowingly, obviously, you can't present -- evidently, you can't look into somebody's mind and say scientifically what that person knew, but you can infer knowledge by what the person may have said or done or the circumstances under which the person acted. You can determine or you can make a judgment as to whether that person acted knowingly or not. But the Government has to prove, the burden is on the Government to prove the defendant acted knowingly and to prove that beyond a reasonable doubt.

Now, I've told you what it is that the Government has to prove here and I told you the Government has to prove these things beyond a reasonable doubt. If the Government fails to prove any one of those elements, any one of the elements that I've mentioned beyond a reasonable doubt, then you should return a verdict of not guilty. On the other hand, if you're satisfied that the Government has proven every one of these things beyond a reasonable doubt, then you should find the defendant guilty, you should return a verdict of guilty.

1 What does it mean to prove something beyond a 2 reasonable doubt? Well, that's pretty difficult to define. You probably have a pretty good sense as to 3 4 what that means. I can simply say that to prove 5 something beyond a reasonable doubt doesn't mean that 6 you have to prove it beyond any shadow of a doubt or 7 beyond any conceivable doubt. To prove something 8 beyond a reasonable doubt means exactly what it says. 9 The Government must prove these things beyond a 10 reasonable doubt. And in determining whether a 11 reasonable doubt exists, you, of course, should use your common sense. A reasonable doubt could arise from 12 13 the evidence that has been presented to you, or it 14 might arise from a lack of evidence. I can't provide you with a more precise definition of what reasonable 15 16 doubt means. I think that that's something that we have to leave to you. You know what a doubt is and you 17 18 know what the term "reasonable" is. It's up to you to 19 decide whether the Government has proven the things that it must prove beyond a reasonable doubt. 20

I told you you'd have the indictment with you in the jury room. And I remind you the indictment is not evidence. It doesn't prove anything or attempt to prove anything. It simply tells you what the charge is, and it's up to you to decide whether the Government

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has proven that charge.

I also told you earlier about the presumption of innocence. I don't want to repeat that, but very briefly, the presumption of innocence is sufficient to require you to acquit the defendant unless you're satisfied that the Government has presented evidence that proves him guilty beyond a reasonable doubt. If you are satisfied that the Government has presented such evidence, the presumption of innocence vanishes.

I have told you what things the Government has to prove and what the standard of proof is, the reasonable doubt standard. Now, the next question is how do you go about determining whether the Government has proven these things beyond a reasonable doubt?

Well, as I said earlier, you must base that decision on the evidence that is properly before you and on the law as I'm attempting to explain it to you. The evidence, as I said, came from the witnesses, the exhibits, and the stipulations of the lawyers, not on anything else the lawyers may have said or not on anything else period. Just the testimony of the witnesses, contents of the exhibits, and the stipulations.

As far as the witnesses are concerned, your principal task is to determine the credibility of the

witnesses, how much weight is their testimony entitled to. How believable were they in what they had to say. And in making that judgment, there are a number of factors that you can and should consider. One of them is whether the witness had an opportunity to have accurately observed the facts about which the witness testified. In other words, was the witness in a good position to have accurately seen, heard, or otherwise perceived the things that the witness told you? Or did it seem to you that the witness's ability to observe these things was impaired in some way or not entirely reliable?

A second factor is the witness's memory, or your assessment of how good the witness's memory was. These events happened some time ago and even though the witness may have been in a good position to have seen or heard what happened, you should ask yourselves whether it appears that the witness had a clear and accurate recollection of what the witness saw or heard, or whether the witness's memory maybe was dim and the witness really wasn't accurately recalling what the witness may have seen or heard.

The third factor is the witness's appearance on the stand. One reason that we ordinarily require witnesses to come in and testify in person before you

as opposed to having somebody tell you what someone that you may not have ever seen told them is that by requiring the witness to come in personally, it gives you a chance to size that person up, to size up the source or the information and make your own judgment as to how reliable you think that witness's perceptions are. So that's -- you heard the witnesses in this case testify, and you had a chance to observe them, and you can make some judgments based on your observation as to how much weight that witness's testimony should get.

Another factor is the probability or improbability of what the witness said. Did the witness testify about in the manner that seemed plausible and believable or did the witness say things that you just find to have been highly improbable or impossible, it couldn't have happened the way the witness said. Just because a witness testifies on a point and nobody directly contradicts the witness, that doesn't mean you have to accept the witness's testimony at face value. If you think the witness was mistaken, or was shading things, or was outright lying, you don't have to accept the witness's testimony if the witness is telling you things that are just unbelievable.

A final factor is whether the witness has any stake in the outcome of the case that might color the

witness's testimony. Now, that doesn't mean, of course, that simply because a witness may have a stake in the outcome, may have some interest in what your decision in the case is that you should automatically disregard or even discount the witness's testimony because by the very nature of things, cases that are litigated in court, when a case is litigated in court, usually the people who are most directly involved, the witnesses, have some involvement in the case. have a stake in the outcome of the case. So the mere fact that somebody has a stake in the outcome shouldn't automatically cause you to discount that person's testimony, but you may take that into consideration especially if there's another witness that you find credible who doesn't have a stake in the outcome whose testimony is different. So that's a factor you can consider.

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You've heard testimony in this case from a couple of police officers. That shouldn't have any bearing on your assessment, the fact that they're police officers. You should base your judgment with respect to credibility not on the position that the witness may hold or the office that the witness may hold but rather on your assessment of that person's credibility as an individual. So it's of no

significance one way or the other that a witness may be a police officer or not a police officer. Also keep in mind that it isn't the number of witnesses who testify on either side of a particular point that is determinative, but rather it's the quality of the testimony. So you could have one person who testifies on one side of an issue and two or three people who testify to the contrary, that doesn't necessarily mean that you should accept the testimony of the two or three. If you find that the one witness was a very credible witness and the two or three witnesses, for whatever reason, were not as credible, you may accept the version given by the single witness.

You'll have the exhibits with you, the second source of evidence, the exhibits, will be with you in the jury room with you when you deliberate. Keep in mind, though, that the exhibits, like the testimony of the witnesses, are simply part of the evidence that's before you. You don't have to accept what's in an exhibit at face value, and you shouldn't look at it in isolation. You should consider the exhibits in light of all the evidence that's been presented and give them whatever weight you think is appropriate in making your decision.

I've told you two times during the trial, I

explained this evidence about prior convictions that Mr. Taylor had previously been convicted of a felony, actually more than one, I guess more than one felony, and I just want to repeat again so there's no misunderstanding here as to the manner or the purposes for which you may consider that evidence. You may consider the evidence for two purposes. One, as I said, one of the things the Government has to show is that prior to allegedly possessing the firearm, Mr. Taylor had been convicted of a felony, that is to say, a criminal offense that carries a possible penalty of more than a year in prison. And you also know that the parties have stipulated that that's the case. that evidence is relevant and may be considered by you for purposes of deciding whether the Government has proven that element.

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The second purpose for which you may consider it is to the extent that you think the prior conviction bears on Mr. Taylor's credibility, that it may be a reason for discounting or disregarding his testimony or not accepting his testimony, you may consider it for that purpose. But as I said, it's entirely up to you to decide to what extent you think it bears on his credibility. You may not think that it matters at all, or you may think that it's a very significant factor to

consider in assessing his credibility. But the purpose for which you may not consider it is you may not consider it as evidence that he must have committed this crime because he committed some other crime in the past. Individuals under our system are tried based on the facts relating to the offense with which they're charged and not on the basis of some prior offense they may have committed. Now, I hope there's no misunderstanding or confusion on the proper use of the prior conviction.

Now, I've told you that you have to make your decision based only on the evidence that's properly before you, but that doesn't mean that you are strictly limited to the testimony of the witnesses and the contents of the exhibits. You may draw from the evidence that was presented from the witnesses and the exhibits, you may draw from that evidence any inferences that may be reasonable. That is to say, you may reach some reasonable conclusions based on that evidence. To put it another way, any fact that has to be proven in a case may be proven in one of two ways. It may be proven by direct evidence, that is to say, the testimony of someone who claims to have directly observed the fact or the presentation of an exhibit that isn't the thing itself, or it may be proven by

1 what's called circumstantial evidence. And proving 2 something by circumstantial evidence simply means 3 proving through the direct evidence two or more facts 4 from which the existence or non-existence of a third 5 fact may be reasonably inferred. Now, let me try to 6 explain that one other way. I think by giving you an 7 example I think it may illustrate what I'm trying to 8 say even more clearly. Suppose on some winter night 9 before you go to bed you look out the window and the 10 ground is bare. The next morning you wake up and there's a foot of snow on the ground. If someone asked 11 12 you if it snowed last night, your answer, I would 13 assume, would be yes. Now, if you had to come into 14 court and prove that it snowed, how would you go about 15 doing that? Well, one way you might do it is you could 16 find someone who was awake and looking out the window 17 when the snowflakes were falling. That person could 18 testify that he or she actually saw the snowflakes 19 falling from the sky, and that would be an example of 20 proving it by direct evidence, the testimony of a 21 witness who claims to have directly observed the 22 snowflakes falling. If you couldn't find someone who 23 was awake when the snowflakes were falling, you could 24 testify as to two facts from your direct observation. 25 Fact number one, that before you went to bed the ground

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was bare; fact number two, when you woke up, there was a foot of snow on the ground. You proved those two facts from your direct observation. And from those two facts, it is perfectly reasonable to infer or conclude the existence of the third fact, namely, that it snowed last night. The snowflakes fell out of the sky.

Now, a word of caution. There's a big difference between proving something by circumstantial evidence and guessing or speculating, and the difference is -- there are really two things that distinguish proof by circumstantial evidence from The first is that in order to prove something by circumstantial evidence, the inference to be drawn, or the conclusion to be drawn must be based on facts that are established by the direct evidence. And the second thing is that the inference that is drawn must be a reasonable inference based on those facts.

So in my example, if someone asked you if it's going to snow next Wednesday night, it would not be a reasonable inference based on the two facts that last night it may have snowed. It would not be reasonable to infer from that that it's going to snow next Wednesday night. So keep that in mind.

The other thing I should mention is that the law recognizes either form of proof. Proof by direct

evidence or proof by circumstantial evidence are valued equally. But what the law does require that no matter which method of proof you use, in a criminal case the Government must prove the fact beyond a reasonable doubt whether it's by direct evidence or circumstantial evidence.

I've told you that it's up to you to decide the facts in this case. That's not my function, and you should not interpret anything that I may have said or done during the course of the trial as indicating any opinion on my part as to what the facts are. I certainly haven't intended to convey any such impression, and you shouldn't be concerned what my view of the facts may be because, as I said, that's up to you. It's your job, and your job alone, to decide the facts.

I also mentioned that as far as the objections of the lawyers are concerned that simply because a lawyer may have objected to evidence, if I admitted the evidence, you shouldn't give it less weight just because the lawyer objected to it. You should give it whatever weight you think it deserves. And I will add at this time that you shouldn't hold it against either lawyer, or either lawyer's client, because a lawyer may have objected at times to evidence offered by the

opposing lawyer. A lawyer has a responsibility, actually, to object to evidence that's offered that the lawyer does not believe satisfies the requirement of the Rules of Evidence, that doesn't get through that filter. So that shouldn't be a consideration in your

decision.

As you know, this case is brought in the name of the United States of America. But that doesn't again, make any difference here because every party that comes into this court comes here as an equal. It doesn't matter who they are. Each side is entitled to the same consideration regardless of who they may be.

I hope that it goes without saying that neither bias in favor of any person, or group, or cause, or prejudice against any person, or group, or cause or sympathy, should play any role whatsoever in your deliberations. Your job very simply is to examine the evidence objectively, to determine from the evidence what the facts are, and to apply to those facts the law as I have explained it to you. Nothing more, nothing less. That's all that either side in this case is entitled to, and that's all they have any right to expect.

All right. I'm going to ask the lawyers to briefly approach the side bar and tell me if they think

told you, or if I misstated something that I told you.

So if you'll bear with us. Counsel, would you approach the side bar.

(Side-bar conference.)

I have forgotten to tell you something I should have

THE COURT: Does the Government have any objections to the charge?

MR. VILKER: No, your Honor.

MR. FITZGERALD: I don't think I have an objection, but I just didn't hear if you gave an instruction about unanimous verdict.

THE COURT: I will after I excuse the alternates.

MR. FITZGERALD: Thank you.

(End side-bar conference.)

THE COURT: Ms. Maneca, am I pronouncing your name correctly?

JUROR: Yes.

THE COURT: And, Mr. LaBonte, you've both been alternates in this case. Your job was to be able to be ready to fill in if something happened to one of the regular jurors and, fortunately, nothing has happened to them. So at this time I want to excuse both of you, but I want to thank you very much for being so attentive. You never know as an alternate when you're

going to be called upon. I know it's difficult but it's important that you pay close attention, as both of you have, and I thank you for that. So you're excused.

(Alternate jurors excused.)

THE COURT: As to you remaining ladies and gentlemen, in order to return a verdict in this case, all 12 of you must agree as to what that verdict should be. You can't return a verdict of either guilty or not guilty unless you are unanimous. When you go into the jury room, there are two things that you need to keep in mind. The first is that you should be prepared to listen with an open mind to what the other jurors have to say if initially they disagree with you, and you should be humble enough to change your opinion if, after listening with an open mind, you agree that they're correct and you are incorrect.

On the other hand, you should also keep in mind that you each have an independent responsibility to vote for the verdict that you think is the correct verdict based on the law that I've explained to you and the facts as you understand the facts to be. And you should have the courage to stick to your convictions even if other jurors, or even if all of the other jurors disagree with you, as long as you have listened with an open mind to what they have to say. I know

those two things sound like they may be in conflict, and to some extent they are, but my experience over the years has been that in the vast majority of the cases the jurors are able to reach unanimous verdicts without violating each of those principles. I'm confident you will, also. But if you can't, well, we'll cross that bridge when we get to it.

When you go into the jury room, the first thing you need to do is select a foreman or a forelady, and that person will have three basic responsibilities.

The first will be to complete and sign the verdict form that will go with you into the jury room. Very simple form. It just involves checking a box and signing it. So when the jury has unanimously decided on the verdict, the foreman or forelady should fill that in and sign it, bring it back into the courtroom, and the clerk will take it from you at that time.

The second responsibility, I guess really the first responsibility the foreman or forelady has is to act as the moderator of your deliberations and to see that they're conducted in an orderly manner, and that everyone who wants a chance to express a view has a fair opportunity to do that.

And the third responsibility, which you may or may not have to exercise, is if it's necessary for you

to communicate with me for any reason, the communication should be in the form of a brief note from the foreman or forelady. Just write out whatever your question or problem is, give it to the security officer who will be outside your door, he'll deliver it to me, I'll discuss it with the lawyers, and I will try to respond to you as quickly and helpfully as I properly can. And I emphasize the word "properly," because there are some things I cannot properly do to help you, one of which is to help you decide what the facts are, because I've told you that you are the sole judges of the facts, and it would not be proper for me to start assisting you in determining what the facts are. But anything else that I can help you with, I certainly will do my best to try. I don't mean to suggest that I expect you to have problems or questions, but I just want to make sure you know that if you do have something that you think I can help you with, and you need some help, I will try to provide it.

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If you do find it necessary to send a note to me, make the note as brief and to the point as possible. We don't want to know what's going on in the jury room unless there's some breach of the peace or something. We don't want to know that you've tentatively voted eight to four, or for or against this

or that. All we want to know is what is your question or problem.

As far as your hours are concerned, they're whatever you want them to be. You should take as much time as you think is necessary to fairly decide the case. There's no time limit. If you've reached a verdict by the end of the day, fine. If not, you can come back tomorrow and resume your deliberations.

Ordinarily, I would say that if you wanted to stay late, we could arrange to do that, but I can't offer you that option today. I have something to do this evening so that's not an option. But you can come back tomorrow and you take as much time as is necessary to fairly decide the case.

Is there anything else before the jury is sent out to deliberate.

MR. VILKER: No, your Honor.

MR. FITZGERALD: I don't believe so. Thank you, your Honor.

THE COURT: All right. I'll ask the security officer to come forward and the clerk will swear him in.

(Security officer sworn in.)

THE COURT: Ladies and gentlemen, this case is in your hands. You may return to the jury room and